

The Honorable Ricardo S. Martinez

UNITED STATES DISTRICT COURT  
WESTERN DISTRICT OF WASHINGTON  
AT SEATTLE

ROBERT KENNY,

Plaintiff,

v.

PACIFIC INVESTMENT MANAGEMENT  
COMPANY LLC, a Delaware limited liability  
company; PIMCO INVESTMENTS LLC,

Defendants.

Case No. 2:14-cv-01987-RSM

STIPULATED  
PROTECTIVE ORDER

1. PURPOSES AND LIMITATIONS

Discovery in this action is likely to involve production of confidential, proprietary, or private information for which special protection may be warranted. Accordingly, the parties hereby stipulate to and petition the court to enter the following Stipulated Protective Order.

The parties acknowledge that this agreement is consistent with LCR 26(c). It does not confer blanket protection on all disclosures or responses to discovery, the protection it affords from public disclosure and use extends only to the limited information or items that are entitled to

STIPULATED  
PROTECTIVE ORDER  
(Case No. 2:14-cv-01987-RSM) -1

**Ropes & Gray LLP**  
Prudential Tower  
800 Boylston Street  
Boston, MA 02199-3600  
Phone: 617.951.7000  
Fax: 617.951.7050

**Perkins Coie LLP**  
1201 Third Avenue, Suite 4900  
Seattle, WA 98101-3099  
Phone: 206.359.8000  
Fax: 206.359.9000

1 confidential treatment under the applicable legal principles, and it does not presumptively  
 2 entitle parties to file confidential information under seal. The parties agree to be bound by the  
 3 terms of this agreement pending the entry by the Court of this agreement, and any violation of  
 4 its terms shall be subject to the same penalties and sanctions, as if this agreement had been  
 5 entered by the Court.  
 6

7 2. “CONFIDENTIAL” MATERIAL

8 “Confidential” material shall include the following documents and tangible things  
 9 produced or otherwise exchanged by and among the parties and any non-parties to the above-  
 10 captioned action:

11 2.1. All information of a personal or private nature (financial or otherwise) and all  
 12 information of a proprietary, financial, technical and/or commercially sensitive  
 13 nature of any sort, including but not limited to:

- 14 (a) Materials prepared for or provided to an audit committee, governance  
 15 committee, or board of trustees;
- 16 (b) Trade secrets and other proprietary technical, research, development,  
 17 sales, and marketing information;
- 18 (c) Financial, budgeting, and accounting information, including profit and  
 19 loss information;
- 20 (d) Information about existing and potential customers and third parties with  
 21 whom a Disclosing Party had or is maintaining a business relationship,  
 22 including, but not limited to, client, customer or shareholder names;
- 23 (e) Information regarding business strategies, decisions, and negotiations;
- 24
- 25
- 26

- (f) Proprietary information about the parties' affiliates, parents, and subsidiaries;
- (g) Information of a private or personal nature, such as Social Security Numbers, health information, personal financial data, credit information, and employment information, including information contained in personnel or human resources records; and
- (h) Proprietary business procedures, manuals, or policies.

### 3. SCOPE

The protections conferred by this agreement cover not only confidential material (as defined above), but also (1) any information copied or extracted from confidential material; (2) all copies, excerpts, summaries, or compilations of confidential material; and (3) any testimony, conversations, or presentations by parties or their counsel that might reveal confidential material. However, the protections conferred by this agreement do not cover information that is in the public domain or becomes part of the public domain through trial or otherwise. This Protective Order does not prohibit the producing party from disclosing its own Confidential Information at any time for any purpose.

### 4. ACCESS TO AND USE OF CONFIDENTIAL MATERIAL

- 4.1. Basic Principles. A receiving party may use confidential material that is disclosed or produced by another party or by a non-party in connection with this case only for prosecuting, defending, or attempting to settle this litigation.
- Confidential material may be disclosed only to the categories of persons and under the conditions described in this agreement. Confidential material must be

1 stored and maintained by a receiving party at a location and in a secure manner  
2 that ensures that access is limited to the persons authorized under this  
3 agreement.  
4

5 4.2. Disclosure of "CONFIDENTIAL" Information or Items. Unless otherwise  
6 ordered by the court or permitted in writing by the designating party, a receiving  
7 party may disclose any confidential material only to:

- 8 (a) the receiving party's counsel of record in this action, as well as  
9 employees of counsel to whom it is reasonably necessary to disclose the  
10 information for this litigation and who have been advised of their  
11 obligations hereunder;  
12  
13 (b) the officers, directors, and employees (including in house counsel) of the  
14 receiving party to whom disclosure is reasonably necessary for this  
15 litigation and who have been advised of their obligations hereunder;  
16  
17 (c) experts and consultants to whom disclosure is reasonably necessary for  
18 this litigation and who have signed the "Acknowledgment and  
19 Agreement to Be Bound" ("Acknowledgment") (Exhibit A);  
20  
21 (d) the court, court personnel, and court reporters and their staff;  
22  
23 (e) third-party vendors retained by counsel to assist in the hosting, copying,  
24 or imaging of confidential material, provided that counsel for the party  
25 retaining the hosting, copying, or imaging service instructs the service  
26 not to disclose any confidential material to third parties and to  
immediately return all originals and copies of any confidential material;

- (f) during their depositions, witnesses in the action to whom disclosure is reasonably necessary and who have signed the “Acknowledgment and Agreement to Be Bound” (Exhibit A), unless otherwise agreed by the designating party or ordered by the court. However, no Acknowledgment is required when the disclosure is from the receiving party to any of the following of the designating party: current directors, trustees, officers, employees, or representatives; current affiliates or affiliates of affiliates; or entities under common control with the designating party. Pages of transcribed deposition testimony or exhibits to depositions that reveal confidential material must be separately bound by the court reporter and may not be disclosed to anyone except as permitted under this agreement;
- (g) the author or recipient of a document containing the information or a custodian or other person who otherwise possessed or knew the information.

4.3. Filing Confidential Material. Before filing confidential material or discussing or referencing such material in court filings, the filing party shall confer with the designating party to determine whether the designating party will remove the confidential designation, whether the document can be redacted, or whether a motion to seal or stipulation and proposed order is warranted. Local Civil Rule 5(g) sets forth the procedures that must be followed and the standards that will

be applied when a party seeks permission from the court to file material under seal.

5. DESIGNATING PROTECTED MATERIAL

5.1. Exercise of Restraint and Care in Designating Material for Protection. Each party or non-party that designates information or items for protection under this agreement must take care to limit any such designation to specific material that qualifies under the appropriate standards. The designating party must designate for protection only those parts of material, documents, items, or oral or written communications that qualify, so that other portions of the material, documents, items, or communications for which protection is not warranted are not swept unjustifiably within the ambit of this agreement.

Mass, indiscriminate, or routinized designations are prohibited. Designations that are shown to be clearly unjustified or that have been made for an improper purpose (e.g., to unnecessarily encumber or delay the case development process or to impose unnecessary expenses and burdens on other parties) expose the designating party to sanctions.

If it comes to a designating party's attention that information or items that it designated for protection do not qualify for protection, the designating party must promptly notify all other parties that it is withdrawing the mistaken designation.

5.2. Manner and Timing of Designations. Except as otherwise provided in this agreement (see, e.g., second paragraph of section 5.2(a) below), or as otherwise

1 stipulated or ordered, disclosure or discovery material that qualifies for  
2 protection under this agreement must be clearly so designated before or when  
3 the material is disclosed or produced.  
4

5 (a) Information in documentary form: (e.g., paper or electronic documents  
6 and deposition exhibits, but excluding transcripts of depositions or other  
7 pretrial or trial proceedings), the designating party must affix the word  
8 “CONFIDENTIAL” to each page that contains confidential material. If  
9 only a portion or portions of the material on a page qualifies for  
10 protection, the producing party also must clearly identify the protected  
11 portion(s) (e.g., by making appropriate markings in the margins).  
12

13 (b) Testimony given in deposition or in other pretrial or trial proceedings:  
14 the parties must identify on the record, during the deposition, hearing, or  
15 other proceeding, all protected testimony, without prejudice to their right  
16 to so designate other testimony after reviewing the transcript. Any party  
17 or non-party may, within fifteen days after receiving a deposition  
18 transcript, designate portions of the transcript, or exhibits thereto, as  
19 confidential.  
20

21 (c) Other tangible items: the producing party must affix in a prominent place  
22 on the exterior of the container or containers in which the information or  
23 item is stored the word “CONFIDENTIAL.” If only a portion or  
24 portions of the information or item warrant protection, the producing  
25 party, to the extent practicable, shall identify the protected portion(s).  
26

5.3. Inadvertent Failures to Designate. If timely corrected, an inadvertent failure to designate qualified information or items does not, standing alone, waive the designating party's right to secure protection under this agreement for such material. Upon timely correction of a designation, the receiving party must make reasonable efforts to ensure that the material is treated in accordance with the provisions of this agreement.

6. CHALLENGING CONFIDENTIALITY DESIGNATIONS

6.1. Timing of Challenges. Any party or non-party may challenge a designation of confidentiality at any time. Unless a prompt challenge to a designating party's confidentiality designation is necessary to avoid foreseeable, substantial unfairness, unnecessary economic burdens, or a significant disruption or delay of the litigation, a party does not waive its right to challenge a confidentiality designation by electing not to mount a challenge promptly after the original designation is disclosed.

6.2. Meet and Confer. The parties must make every attempt to resolve any dispute regarding confidential designations without court involvement. Any motion regarding confidential designations or for a protective order must include a certification, in the motion or in a declaration or affidavit, that the movant has engaged in a good faith meet and confer conference with other affected parties in an effort to resolve the dispute without court action. The certification must list the date, manner, and participants to the conference. A good faith effort to confer requires a face-to-face meeting or a telephone conference.



6.3. Judicial Intervention. If the parties cannot resolve a challenge without court intervention, the designating party may file and serve a motion to retain confidentiality under Local Civil Rule 7 (and in compliance with Local Civil Rule 5(g), if applicable) within 14 days of the parties agreeing that the meet and confer process will not resolve their dispute (or any additional period agreed to, in writing, by the receiving party). The burden of persuasion in any such motion shall be on the designating party. Frivolous challenges, and those made for an improper purpose (e.g., to harass or impose unnecessary expenses and burdens on other parties) may expose the challenging party to sanctions. All parties shall continue to maintain the material in question as confidential until the court rules on the challenge.

7. MOTIONS TO SEAL

7.1. The parties shall comply with the provisions of Local Rule 5(g) on Sealing and Redacting of Court Records.

8. NON-PARTIES

8.1. Non-parties that produce documents or provide deposition testimony in this Litigation in response to a subpoena or otherwise may designate the documents or testimony provided by them as Confidential Information in the manner provided in this Protective Order, and may fully avail themselves of the protections afforded to parties under this Protective Order by executing the acknowledgement form attached hereto as Exhibit B.

9. PROTECTED MATERIAL SUBPOENAED OR ORDERED PRODUCED IN OTHER LITIGATION

1 If a party is served with a subpoena or a court order issued in other litigation that  
 2 compels disclosure of any information or items designated in this action as

3 “CONFIDENTIAL,” that party must:

- 4
- 5 (a) promptly notify the designating party in writing and include a copy of  
 6 the subpoena or court order and shall not produce any confidential  
 7 material in response to the subpoena or court order until the passage of  
 8 five (5) business days after notice to the designating party (to provide the  
 9 designating party to take any steps available to it under the law to  
 10 challenge the subpoena or court order);
- 11 (b) promptly notify in writing the party who caused the subpoena or order to  
 12 issue in the other litigation that some or all of the material covered by the  
 13 subpoena or order is subject to this agreement. Such notification shall  
 14 include a copy of this agreement; and
- 15 (c) cooperate with respect to all reasonable procedures sought to be pursued  
 16 by the designating party whose confidential material may be affected.  
 17

18 10. UNAUTHORIZED DISCLOSURE OF PROTECTED MATERIAL

19 If a receiving party learns that, by inadvertence or otherwise, it has disclosed  
 20 confidential material to any person or in any circumstance not authorized under this agreement,  
 21 the receiving party must immediately (a) notify in writing the designating party of the  
 22 unauthorized disclosures, (b) use its best efforts to retrieve all unauthorized copies of the  
 23 protected material, (c) inform the person or persons to whom unauthorized disclosures were  
 24

made of all the terms of this agreement, and (d) request that such person or persons execute the “Acknowledgment and Agreement to Be Bound” that is attached hereto as Exhibit A.

11. INADVERTENT PRODUCTION OF PRIVILEGED OR OTHERWISE PROTECTED MATERIAL

When a producing party gives notice to receiving parties that certain inadvertently produced material is subject to a claim of privilege or other protection, the obligations of the receiving parties are those set forth in Federal Rule of Civil Procedure 26(b)(5)(B) and Section D of the Agreement Regarding Discovery of Electronically-Stored Information and Order dated November 19, 2015 (“ESI Agreement”). If the parties cannot resolve a dispute with regard to the inadvertent production of any document and its return under Section D of the ESI Agreement, the procedure set forth in Section 6.3 shall apply, and the producing party shall have the burden of persuasion under Rule of Evidence 502(b). This provision is not intended to modify whatever procedure may be established in an e-discovery order or agreement that provides for production without prior privilege review.

12. NON TERMINATION AND RETURN OF DOCUMENTS

Within 60 days after the termination of this action, including all appeals, each receiving party must return all confidential material to the producing party, including all copies, extracts and summaries thereof. Alternatively, the parties may agree upon appropriate methods of destruction.

Notwithstanding this provision, counsel are entitled to retain one archival copy of all documents filed with the court, trial, deposition, and hearing transcripts, correspondence, deposition and trial exhibits, expert reports, attorney work product, and consultant and expert work product, even if such materials contain confidential material.

The confidentiality obligations imposed by this agreement shall remain in effect until a designating party agrees otherwise in writing or a court orders otherwise.

IT IS SO STIPULATED, THROUGH COUNSEL OF RECORD.

Dated this 26th day of January, 2016.

By: s/ Michael D. Woerner  
 By: s/ Tana Lin  
 By: s/ Laura R. Gerber  
 By: s/ Ian Mensher  
 Michael D. Woerner, WSBA No. 15452  
 Tana Lin, WSBA No. 35271  
 Laura R. Gerber, WSBA No. 34981  
 Ian Mensher, WSBA #39593  
**Keller Rohrback L.L.P.**  
 1201 Third Avenue, Suite 3200  
 Seattle, WA 98101  
 Telephone: 206-623-1900  
 Facsimile: 206-623-3384  
 Email: mwoerner@kellerrohrback.com  
 tlin@kellerrohrback.com  
 lgerber@kellerrohrback.com  
 imensher@kellerrohrback.com

Michael J. Brickman (*Admitted Pro Hac Vice*)  
**Richardson, Patrick, Westbrook & Brickman, LLC**  
 174 East Bay Street  
 Charleston, SC 29401  
 Telephone: 843-727-6520  
 Facsimile: 843-727-3103  
 Email: mbrickman@rpwb.com

Nina Fields Britt (*Admitted Pro Hac Vice*)

By: s/ Ronald L. Berenstein  
s/ David F. Taylor  
s/ Sean C. Knowles  
 Ronald L. Berenstein, WSBA No. 7573  
 David F. Taylor, WSBA No. 25689  
 Sean C. Knowles, WSBA No. 39893  
**Perkins Coie LLP**  
 1201 Third Avenue, Suite 4900  
 Seattle, WA 98101-3099  
 Telephone: 206-359-8000  
 Facsimile: 206-359-9000  
 Email: rberenstein@perkinscoie.com  
 dftaylor@perkinscoie.com  
 sknowles@perkinscoie.com

John D. Donovan (*Admitted Pro Hac Vice*)  
 Robert A. Skinner (*Admitted Pro Hac Vice*)  
 Amy D. Roy (*Admitted Pro Hac Vice*)  
**Ropes & Gray LLP**  
 Prudential Tower  
 800 Boylston Street  
 Boston, MA 02199-3600  
 Telephone: 617-951-7000  
 Facsimile: 617-951-7050  
 Email: John.Donovan@ropesgray.com  
 Robert.Skinner@ropesgray.com  
 Amy.Roy@ropesgray.com

John C. Ertman (*Admitted Pro Hac Vice*)  
**Ropes & Gray LLP**  
 1211 Avenue of the Americas  
 New York, NY 10036-8704  
 Telephone: 212-596-9000  
 Facsimile: 212-596-9090  
 Email: John.Ertman@ropesgray.com

STIPULATED  
 PROTECTIVE ORDER  
 (Case No. 2:14-cv-01987-RSM) -12

**Ropes & Gray LLP**  
 Prudential Tower  
 800 Boylston Street  
 Boston, MA 02199-3600  
 Phone: 617.951.7000  
 Fax: 617.951.7050

**Perkins Coie LLP**  
 1201 Third Avenue, Suite 4900  
 Seattle, WA 98101-3099  
 Phone: 206.359.8000  
 Fax: 206.359.9000

1 James C. Bradley (*Admitted Pro Hac Vice*) Attorneys for Defendants Pacific Investment  
2 Matthew A. Nickles (*Admitted Pro Hac Vice*) Management Company LLC and PIMCO  
3 **Richardson, Patrick, Westbrook &** Investments LLC  
4 **Brickman, LLC**  
5 1037 Chuck Dawley Boulevard, Bldg. A  
6 Mount Pleasant, SC 29465  
7 Telephone: 843-727-6500  
8 Facsimile: 843-216-6509  
9 Email: nfields@rpwb.com  
10 jbradley@rpwb.com  
11 mnickles@rpwb.com

12 Attorneys for Plaintiff

13 PURSUANT TO STIPULATION, IT IS SO ORDERED

14 DATED \_\_\_\_\_

\_\_\_\_\_  
The Honorable Ricardo S. Martinez  
United States District Judge

15 STIPULATED  
16 PROTECTIVE ORDER  
17 (Case No. 2:14-cv-01987-RSM) -13

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19 Prudential Tower  
20 800 Boylston Street  
21 Boston, MA 02199-3600  
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25 1201 Third Avenue, Suite 4900  
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**EXHIBIT A**

**ACKNOWLEDGMENT AND AGREEMENT TO BE BOUND**

I, \_\_\_\_\_ [print or type full name], of  
 \_\_\_\_\_ [print or type full address], declare under penalty of perjury that I  
 have read in its entirety and understand the Stipulated Protective Order that was issued by the  
 United States District Court for the Western District of Washington on [date] in the  
 case of *Robert Kenny v. Pacific Investment Management Company LLC, et al*, W.D.Wash. No.  
 2:14-cv-01987-RSM. I agree to comply with and to be bound by all the terms of this Stipulated  
 Protective Order and I understand and acknowledge that failure to so comply could expose me  
 to sanctions and punishment in the nature of contempt. I solemnly promise that I will not  
 disclose in any manner any information or item that is subject to this Stipulated Protective  
 Order to any person or entity except in strict compliance with the provisions of this Order.

I further agree to submit to the jurisdiction of the United States District Court for the  
 Western District of Washington for the purpose of enforcing the terms of this Stipulated  
 Protective Order, even if such enforcement proceedings occur after termination of this action.

Date: \_\_\_\_\_

City and State where sworn and signed: \_\_\_\_\_

Printed name: \_\_\_\_\_

Signature: \_\_\_\_\_

**EXHIBIT B**

\_\_\_\_\_ is producing documents pursuant to subpoena in the above-captioned case (the "Lawsuit"). \_\_\_\_\_ shall be bound by, and the documents produced pursuant to subpoena in the Lawsuit shall be treated in accordance with, the Protective Order entered in the Lawsuit on \_\_\_\_\_, 2016.

Dated: \_\_\_\_\_  
\_\_\_\_\_

[Name]

By: \_\_\_\_\_

Its: \_\_\_\_\_

*Redlined Version Identifying Departures from Model  
Protective Order Pursuant to Local Civil Rule 26(c)(2)*



The Honorable Ricardo S. Martinez

UNITED STATES DISTRICT COURT  
WESTERN DISTRICT OF WASHINGTON  
AT SEATTLE

ROBERT KENNY,

Plaintiff,

v.

~~[Defendant],~~

PACIFIC INVESTMENT MANAGEMENT  
COMPANY LLC, a Delaware limited liability  
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~~Defendant~~ Defendants.

~~CASE NO.~~

Case No. 2:14-cv-01987-RSM

~~MODEL~~ STIPULATED  
PROTECTIVE ORDER

1. PURPOSES AND LIMITATIONS

Discovery in this action is likely to involve production of confidential, proprietary, or private information for which special protection may be warranted. Accordingly, the parties hereby stipulate to and petition the court to enter the following Stipulated Protective Order. The parties acknowledge that this agreement is consistent with LCR 26(c). It does not confer blanket protection on all disclosures or responses to discovery, the protection it affords from

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(Case No. 2:14-cv-01987-RSM) -1

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Prudential Tower  
800 Boylston Street  
Boston, MA 02199-3600  
Phone: 617.951.7000  
Fax: 617.951.7050

Perkins Coie LLP  
1201 Third Avenue, Suite 4900  
Seattle, WA 98101-3099  
Phone: 206.359.8000  
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~~MODEL STIPULATED PROTECTIVE ORDER-1~~

public disclosure and use extends only to the limited information or items that are entitled to confidential treatment under the applicable legal principles, and it does not presumptively entitle parties to file confidential information under seal. The parties agree to be bound by the terms of this agreement pending the entry by the Court of this agreement, and any violation of its terms shall be subject to the same penalties and sanctions, as if this agreement had been entered by the Court.

2. “CONFIDENTIAL” MATERIAL

“Confidential” material shall include the following documents and tangible things produced or otherwise exchanged: ~~The parties must include a list of specific documents such as “company’s customer list” or “plaintiff’s medical records;” do not list broad categories of documents such as “sensitive business material”].~~ by and among the parties and any non-parties to the above-captioned action:

2.1. All information of a personal or private nature (financial or otherwise) and all information of a proprietary, financial, technical and/or commercially sensitive nature of any sort, including but not limited to:

- (a) Materials prepared for or provided to an audit committee, governance committee, or board of trustees;
- (b) Trade secrets and other proprietary technical, research, development, sales, and marketing information;
- (c) Financial, budgeting, and accounting information, including profit and loss information;

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PROTECTIVE ORDER  
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Ropes & Gray LLP  
Prudential Tower  
800 Boylston Street  
Boston, MA 02199-3600  
Phone: 617.951.7000  
Fax: 617.951.7050

Perkins Coie LLP  
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~~MODEL STIPULATED PROTECTIVE ORDER 2~~

(d) Information about existing and potential customers and third parties with whom a Disclosing Party had or is maintaining a business relationship, including, but not limited to, client, customer or shareholder names;

(e) Information regarding business strategies, decisions, and negotiations;

(f) Proprietary information about the parties' affiliates, parents, and subsidiaries;

(g) Information of a private or personal nature, such as Social Security Numbers, health information, personal financial data, credit information, and employment information, including information contained in personnel or human resources records; and

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The protections conferred by this agreement cover not only confidential material (as defined above), but also (1) any information copied or extracted from confidential material; (2) all copies, excerpts, summaries, or compilations of confidential material; and (3) any testimony, conversations, or presentations by parties or their counsel that might reveal confidential material. However, the protections conferred by this agreement do not cover information that is in the public domain or becomes part of the public domain through trial or otherwise. This Protective Order does not prohibit the producing party from disclosing its own Confidential Information at any time for any purpose.

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PROTECTIVE ORDER  
(Case No. 2:14-cv-01987-RSM) -3

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800 Boylston Street  
Boston, MA 02199-3600  
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Fax: 617.951.7050

Perkins Coie LLP  
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Seattle, WA 98101-3099  
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~~MODEL STIPULATED PROTECTIVE ORDER 3~~

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4.2. Disclosure of "CONFIDENTIAL" Information or Items. Unless otherwise ordered by the court or permitted in writing by the designating party, a receiving party may disclose any confidential material only to:

- (a) the receiving party's counsel of record in this action, as well as employees of counsel to whom it is reasonably necessary to disclose the information for this litigation and who have been advised of their obligations hereunder;
- (b) the officers, directors, and employees (including in house counsel) of the receiving party to whom disclosure is reasonably necessary for this litigation, ~~unless the parties agree that a particular document or material produced is for Attorney's Eyes Only and is so designated~~ and who have been advised of their obligations hereunder;

STIPULATED  
PROTECTIVE ORDER  
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Ropes & Gray LLP  
Prudential Tower  
800 Boylston Street  
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Fax: 617.951.7050

Perkins Coie LLP  
1201 Third Avenue, Suite 4900  
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~~MODEL STIPULATED PROTECTIVE ORDER 4~~

- 1 (c) experts and consultants to whom disclosure is reasonably necessary for  
 2 this litigation and who have signed the “Acknowledgment and  
 3 Agreement to Be Bound” (“Acknowledgment”) (Exhibit A);  
 4  
 5 (d) the court, court personnel, and court reporters and their staff;  
 6 (e) ~~copy or imaging services~~third-party vendors retained by counsel to  
 7 assist in the ~~duplication~~hosting, copying, or imaging of confidential  
 8 material, provided that counsel for the party retaining the ~~copy~~  
 9 ~~or~~hosting, copying, or imaging service instructs the service not to  
 10 disclose any confidential material to third parties and to immediately  
 11 return all originals and copies of any confidential material;  
 12  
 13 (f) during their depositions, witnesses in the action to whom disclosure is  
 14 reasonably necessary and who have signed the “Acknowledgment and  
 15 Agreement to Be Bound” (Exhibit A), unless otherwise agreed by the  
 16 designating party or ordered by the court. However, no  
 17 Acknowledgment is required when the disclosure is from the receiving  
 18 party to any of the following of the designating party: current directors,  
 19 trustees, officers, employees, or representatives; current affiliates or  
 20 affiliates of affiliates; or entities under common control with the  
 21 designating party. Pages of transcribed deposition testimony or  
 22 exhibits to depositions that reveal confidential material must be  
 23  
 24  
 25

separately bound by the court reporter and may not be disclosed to anyone except as permitted under this agreement;

(g) the author or recipient of a document containing the information or a custodian or other person who otherwise possessed or knew the information.

4.3. Filing Confidential Material. Before filing confidential material or discussing or referencing such material in court filings, the filing party shall confer with the designating party to determine whether the designating party will remove the confidential designation, whether the document can be redacted, or whether a motion to seal or stipulation and proposed order is warranted. Local Civil Rule 5(g) sets forth the procedures that must be followed and the standards that will be applied when a party seeks permission from the court to file material under seal.

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5.1. Exercise of Restraint and Care in Designating Material for Protection. Each party or non-party that designates information or items for protection under this agreement must take care to limit any such designation to specific material that qualifies under the appropriate standards. The designating party must designate for protection only those parts of material, documents, items, or oral or written communications that qualify, so that other portions of the material,

documents, items, or communications for which protection is not warranted are not swept unjustifiably within the ambit of this agreement. Mass, indiscriminate, or routinized designations are prohibited. Designations that are shown to be clearly unjustified or that have been made for an improper purpose (e.g., to unnecessarily encumber or delay the case development process or to impose unnecessary expenses and burdens on other parties) expose the designating party to sanctions. If it comes to a designating party's attention that information or items that it designated for protection do not qualify for protection, the designating party must promptly notify all other parties that it is withdrawing the mistaken designation.

5.2. Manner and Timing of Designations. Except as otherwise provided in this agreement (see, e.g., second paragraph of section 5.2(a) below), or as otherwise stipulated or ordered, disclosure or discovery material that qualifies for protection under this agreement must be clearly so designated before or when the material is disclosed or produced.

(a) Information in documentary form: (e.g., paper or electronic documents and deposition exhibits, but excluding transcripts of depositions or other pretrial or trial proceedings), the designating party must affix the word "CONFIDENTIAL" to each page that contains confidential material. If only a portion or portions of the material on a page

qualifies for protection, the producing party also must clearly identify the protected portion(s) (e.g., by making appropriate markings in the margins).

(b) Testimony given in deposition or in other pretrial or trial proceedings: the parties must identify on the record, during the deposition, hearing, or other proceeding, all protected testimony, without prejudice to their right to so designate other testimony after reviewing the transcript. Any party or non-party may, within fifteen days after receiving a deposition transcript, designate portions of the transcript, or exhibits thereto, as confidential.

(c) Other tangible items: the producing party must affix in a prominent place on the exterior of the container or containers in which the information or item is stored the word "CONFIDENTIAL." If only a portion or portions of the information or item warrant protection, the producing party, to the extent practicable, shall identify the protected portion(s).

5.3. Inadvertent Failures to Designate. If timely corrected, an inadvertent failure to designate qualified information or items does not, standing alone, waive the designating party's right to secure protection under this agreement for such material. Upon timely correction of a designation, the receiving party must



make reasonable efforts to ensure that the material is treated in accordance with the provisions of this agreement.

6. CHALLENGING CONFIDENTIALITY DESIGNATIONS

6.1. Timing of Challenges. Any party or non-party may challenge a designation of confidentiality at any time. Unless a prompt challenge to a designating party's confidentiality designation is necessary to avoid foreseeable, substantial unfairness, unnecessary economic burdens, or a significant disruption or delay of the litigation, a party does not waive its right to challenge a confidentiality designation by electing not to mount a challenge promptly after the original designation is disclosed.

6.2. Meet and Confer. The parties must make every attempt to resolve any dispute regarding confidential designations without court involvement. Any motion regarding confidential designations or for a protective order must include a certification, in the motion or in a declaration or affidavit, that the movant has engaged in a good faith meet and confer conference with other affected parties in an effort to resolve the dispute without court action. The certification must list the date, manner, and participants to the conference. A good faith effort to confer requires a face-to-face meeting or a telephone conference.

6.3. Judicial Intervention. If the parties cannot resolve a challenge without court intervention, the designating party may file and serve a motion to retain confidentiality under Local Civil Rule 7 (and in compliance with Local Civil

Rule 5(g), if applicable) within 14 days of the parties agreeing that the meet and confer process will not resolve their dispute (or any additional period agreed to, in writing, by the receiving party). The burden of persuasion in any such motion shall be on the designating party. Frivolous challenges, and those made for an improper purpose (e.g., to harass or impose unnecessary expenses and burdens on other parties) may expose the challenging party to sanctions. All parties shall continue to maintain the material in question as confidential until the court rules on the challenge.

## 7. MOTIONS TO SEAL

7.1. The parties shall comply with the provisions of Local Rule 5(g) on Sealing and Redacting of Court Records.

## 8. NON-PARTIES

8.1. Non-parties that produce documents or provide deposition testimony in this Litigation in response to a subpoena or otherwise may designate the documents or testimony provided by them as Confidential Information in the manner provided in this Protective Order, and may fully avail themselves of the protections afforded to parties under this Protective Order by executing the acknowledgement form attached hereto as Exhibit B.

## 9. ~~7.~~ PROTECTED MATERIAL SUBPOENAED OR ORDERED PRODUCED IN OTHER LITIGATION

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Ropes & Gray LLP  
Prudential Tower  
800 Boylston Street  
Boston, MA 02199-3600  
Phone: 617.951.7000  
Fax: 617.951.7050

Perkins Coie LLP  
1201 Third Avenue, Suite 4900  
Seattle, WA 98101-3099  
Phone: 206.359.8000  
Fax: 206.359.9000

~~MODEL STIPULATED PROTECTIVE ORDER 10~~

1 If a party is served with a subpoena or a court order issued in other litigation that  
 2 compels disclosure of any information or items designated in this action as  
 3 “CONFIDENTIAL,” that party must:

- 4 (a) promptly notify the designating party in writing and include a copy of  
 5 the subpoena or court order; and shall not produce any confidential  
 6 material in response to the subpoena or court order until the passage of  
 7 five (5) business days after notice to the designating party (to provide  
 8 the designating party to take any steps available to it under the law to  
 9 challenge the subpoena or court order);  
 10  
 11 (b) promptly notify in writing the party who caused the subpoena or order  
 12 to issue in the other litigation that some or all of the material covered  
 13 by the subpoena or order is subject to this agreement. Such  
 14 notification shall include a copy of this agreement; and  
 15  
 16 (c) cooperate with respect to all reasonable procedures sought to be  
 17 pursued by the designating party whose confidential material may be  
 18 affected.

19 10. ~~8.~~ UNAUTHORIZED DISCLOSURE OF PROTECTED MATERIAL

20 If a receiving party learns that, by inadvertence or otherwise, it has disclosed  
 21 confidential material to any person or in any circumstance not authorized under this  
 22 agreement, the receiving party must immediately (a) notify in writing the designating party of  
 23 the unauthorized disclosures, (b) use its best efforts to retrieve all unauthorized copies of the  
 24

25  
 26  
 STIPULATED  
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Ropes & Gray LLP  
Prudential Tower  
800 Boylston Street  
Boston, MA 02199-3600  
Phone: 617.951.7000  
Fax: 617.951.7050

Perkins Coie LLP  
1201 Third Avenue, Suite 4900  
Seattle, WA 98101-3099  
Phone: 206.359.8000  
Fax: 206.359.9000

~~MODEL STIPULATED PROTECTIVE ORDER -11~~

protected material, (c) inform the person or persons to whom unauthorized disclosures were made of all the terms of this agreement, and (d) request that such person or persons execute the “Acknowledgment and Agreement to Be Bound” that is attached hereto as Exhibit A.

11. ~~9.~~ INADVERTENT PRODUCTION OF PRIVILEGED OR OTHERWISE PROTECTED MATERIAL

When a producing party gives notice to receiving parties that certain inadvertently produced material is subject to a claim of privilege or other protection, the obligations of the receiving parties are those set forth in Federal Rule of Civil Procedure 26(b)(5)(B) and Section D of the Agreement Regarding Discovery of Electronically-Stored Information and Order dated November 19, 2015 (“ESI Agreement”). If the parties cannot resolve a dispute with regard to the inadvertent production of any document and its return under Section D of the ESI Agreement, the procedure set forth in Section 6.3 shall apply, and the producing party shall have the burden of persuasion under Rule of Evidence 502(b). This provision is not intended to modify whatever procedure may be established in an e-discovery order or agreement that provides for production without prior privilege review. ~~Parties shall confer on an appropriate non-waiver order under Fed. R. Evid. 502.~~

12. ~~10.~~ NON TERMINATION AND RETURN OF DOCUMENTS

Within 60 days after the termination of this action, including all appeals, each receiving party must return all confidential material to the producing party, including all copies, extracts and summaries thereof. Alternatively, the parties may agree upon appropriate methods of destruction.

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Ropes & Gray LLP  
Prudential Tower  
800 Boylston Street  
Boston, MA 02199-3600  
Phone: 617.951.7000  
Fax: 617.951.7050

Perkins Coie LLP  
1201 Third Avenue, Suite 4900  
Seattle, WA 98101-3099  
Phone: 206.359.8000  
Fax: 206.359.9000

~~MODEL STIPULATED PROTECTIVE ORDER 12~~

Notwithstanding this provision, counsel are entitled to retain one archival copy of all documents filed with the court, trial, deposition, and hearing transcripts, correspondence, deposition and trial exhibits, expert reports, attorney work product, and consultant and expert work product, even if such materials contain confidential material.

The confidentiality obligations imposed by this agreement shall remain in effect until a designating party agrees otherwise in writing or a court orders otherwise.

IT IS SO STIPULATED, THROUGH COUNSEL OF RECORD.

Dated this      day of January, 2016.

**DATED** \_\_\_\_\_  
**Attorneys for Plaintiff**

<b>DATED</b> _____	_____
By: <u>s/ Michael D. Woerner</u>	By: <u>s/ Ronald L. Berenstein</u>
By: <u>s/ Tana Lin</u>	<u>s/ David F. Taylor</u>
By: <u>s/ Laura R. Gerber</u>	<u>s/ Sean C. Knowles</u>
By: <u>s/ Ian Mensher</u>	<u>Ronald L. Berenstein, WSBA No. 7573</u>
<u>Michael D. Woerner, WSBA No. 15452</u>	<u>David F. Taylor, WSBA No. 25689</u>
<u>Tana Lin, WSBA No. 35271</u>	<u>Sean C. Knowles, WSBA No. 39893</u>
<u>Laura R. Gerber, WSBA No. 34981</u>	<b><u>Perkins Coie LLP</u></b>
<u>Ian Mensher, WSBA #39593</u>	<u>1201 Third Avenue, Suite 4900</u>
<b><u>Keller Rohrback L.L.P.</u></b>	<u>Seattle, WA 98101-3099</u>
<u>1201 Third Avenue, Suite 3200</u>	<u>Telephone: 206-359-8000</u>
<u>Seattle, WA 98101</u>	<u>Facsimile: 206-359-9000</u>
<u>Telephone: 206-623-1900</u>	<u>Email: <a href="mailto:rberenstein@perkinscoie.com">rberenstein@perkinscoie.com</a></u>
<u>Facsimile: 206-623-3384</u>	<u><a href="mailto:dftaylor@perkinscoie.com">dftaylor@perkinscoie.com</a></u>
<u>Email: <a href="mailto:mwoerner@kellerrohrback.com">mwoerner@kellerrohrback.com</a></u>	<u><a href="mailto:sknowles@perkinscoie.com">sknowles@perkinscoie.com</a></u>
<u><a href="mailto:tlin@kellerrohrback.com">tlin@kellerrohrback.com</a></u>	<b><u>Attorneys for Defendant</u></b>
<u><a href="mailto:lgerber@kellerrohrback.com">lgerber@kellerrohrback.com</a></u>	<u>John D. Donovan (<i>Admitted Pro Hac Vice</i>)</u>
<u><a href="mailto:imensher@kellerrohrback.com">imensher@kellerrohrback.com</a></u>	<u>Robert A. Skinner (<i>Admitted Pro Hac Vice</i>)</u>
	<u>Amy D. Roy (<i>Admitted Pro Hac Vice</i>)</u>

Michael J. Brickman (*Admitted Pro Hac Vice*)

**Ropes & Gray LLP**  
Prudential Tower  
800 Boylston Street  
Boston, MA 02199-3600

**STIPULATED  
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**Ropes & Gray LLP**  
Prudential Tower  
800 Boylston Street  
Boston, MA 02199-3600  
Phone: 617.951.7000  
Fax: 617.951.7050

**Perkins Coie LLP**  
1201 Third Avenue, Suite 4900  
Seattle, WA 98101-3099  
Phone: 206.359.8000  
Fax: 206.359.9000

**~~MODEL STIPULATED PROTECTIVE ORDER 13~~**

**Richardson, Patrick, Westbrook & Brickman, LLC**

174 East Bay Street  
Charleston, SC 29401  
Telephone: 843-727-6520  
Facsimile: 843-727-3103  
Email: mbrickman@rpwb.com

Telephone: 617-951-7000

Facsimile: 617-951-7050

Email: John.Donovan@ropesgray.com

Robert.Skinner@ropesgray.com

Amy.Roy@ropesgray.com

John C. Ertman (Admitted Pro Hac Vice)

**Ropes & Gray LLP**

1211 Avenue of the Americas

New York, NY 10036-8704

Telephone: 212-596-9000

Facsimile: 212-596-9090

Email: John.Ertman@ropesgray.com

Nina Fields Britt (Admitted Pro Hac Vice)

James C. Bradley (Admitted Pro Hac Vice)

Matthew A. Nickles (Admitted Pro Hac Vice)

Attorneys for Defendants Pacific Investment

Management Company LLC and PIMCO

Investments LLC

**Richardson, Patrick, Westbrook & Brickman, LLC**

1037 Chuck Dawley Boulevard, Bldg. A

Mount Pleasant, SC 29465

Telephone: 843-727-6500

Facsimile: 843-216-6509

Email: nfields@rpwb.com

jbradley@rpwb.com

mnickles@rpwb.com

Attorneys for Plaintiff

PURSUANT TO STIPULATION, IT IS SO ORDERED

DATED \_\_\_\_\_

{Name of Judge}

The Honorable Ricardo S. Martinez

STIPULATED  
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**Ropes & Gray LLP**  
Prudential Tower  
800 Boylston Street  
Boston, MA 02199-3600  
Phone: 617.951.7000  
Fax: 617.951.7050

**Perkins Coie LLP**  
1201 Third Avenue, Suite 4900  
Seattle, WA 98101-3099  
Phone: 206.359.8000  
Fax: 206.359.9000

~~MODEL STIPULATED PROTECTIVE ORDER -14~~

United States District Judge

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STIPULATED  
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Ropes & Gray LLP  
Prudential Tower  
800 Boylston Street  
Boston, MA 02199-3600  
Phone: 617.951.7000  
Fax: 617.951.7050

Perkins Coie LLP  
1201 Third Avenue, Suite 4900  
Seattle, WA 98101-3099  
Phone: 206.359.8000  
Fax: 206.359.9000

~~MODEL STIPULATED PROTECTIVE ORDER -15~~

**EXHIBIT A****ACKNOWLEDGMENT AND AGREEMENT TO BE BOUND**

I, \_\_\_\_\_ [print or type full name], of  
 \_\_\_\_\_ [print or type full address], declare under penalty of perjury  
 that I have read in its entirety and understand the Stipulated Protective Order that was issued  
 by the United States District Court for the Western District of Washington on [date]  
 in the case of \_\_\_\_\_ ~~[insert formal name of the case and the number and~~  
~~initials assigned to it by the court]~~ Robert Kenny v. Pacific Investment Management  
Company LLC, et al, W.D.Wash. No. 2:14-cv-01987-RSM. I agree to comply with and to be  
 bound by all the terms of this Stipulated Protective Order and I understand and acknowledge  
 that failure to so comply could expose me to sanctions and punishment in the nature of  
 contempt. I solemnly promise that I will not disclose in any manner any information or item  
 that is subject to this Stipulated Protective Order to any person or entity except in strict  
 compliance with the provisions of this Order.

I further agree to submit to the jurisdiction of the United States District Court for the  
 Western District of Washington for the purpose of enforcing the terms of this Stipulated  
 Protective Order, even if such enforcement proceedings occur after termination of this action.

Date: \_\_\_\_\_

City and State where sworn and signed: \_\_\_\_\_

Printed name: \_\_\_\_\_

Signature: \_\_\_\_\_

STIPULATED  
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Ropes & Gray LLP  
Prudential Tower  
800 Boylston Street  
Boston, MA 02199-3600  
Phone: 617.951.7000  
Fax: 617.951.7050

Perkins Coie LLP  
1201 Third Avenue, Suite 4900  
Seattle, WA 98101-3099  
Phone: 206.359.8000  
Fax: 206.359.9000

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**EXHIBIT B**

\_\_\_\_\_ is producing documents pursuant to subpoena in the  
above-captioned case (the "Lawsuit"). \_\_\_\_\_ shall be bound by,  
and the documents produced pursuant to subpoena in the Lawsuit shall be treated in  
accordance with, the Protective Order entered in the Lawsuit on \_\_\_\_\_,  
2016.

Dated: \_\_\_\_\_  
\_\_\_\_\_

[Name]

By: \_\_\_\_\_

Its: \_\_\_\_\_

—

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(Case No. 2:14-cv-01987-RSM) -17

Ropes & Gray LLP  
Prudential Tower  
800 Boylston Street  
Boston, MA 02199-3600  
Phone: 617.951.7000  
Fax: 617.951.7050

Perkins Coie LLP  
1201 Third Avenue, Suite 4900  
Seattle, WA 98101-3099  
Phone: 206.359.8000  
Fax: 206.359.9000

~~MODEL STIPULATED PROTECTIVE ORDER -17~~

<b>Summary report:</b> <b>Litéra® Change-Pro TDC 7.5.0.145 Document comparison done on</b> <b>1/26/2016 2:44:33 PM</b>	
<b>Style name:</b> RG_Default_Style	
<b>Intelligent Table Comparison:</b> Active	
<b>Original filename:</b> Original - Model Stipulated Protective Order.doc	
<b>Modified filename:</b> Active_54252150_18_Kenny v. PIMCO Stipulated Protective Order.DOC	
<b>Changes:</b>	
Add	141
Delete	24
Move From	0
Move To	0
Table Insert	2
Table Delete	2
Table moves to	0
Table moves from	0
Embedded Graphics (Visio, ChemDraw, Images etc.)	0
Embedded Excel	0
Format changes	0
<b>Total Changes:</b>	169

**CERTIFICATE OF SERVICE**

I hereby certify that on January 26, 2016, I electronically filed the foregoing with the Clerk of the Court using the CM/ECF system, which will send notification of such filing to the email addresses indicated on the Court's Electronic Mail Notice List.

DATED: January 26, 2016.

By: s/ Ronald L. Berenstein

Ronald L. Berenstein, WSBA No. 7573

Perkins Coie LLP

1201 Third Avenue, Suite 4900

Seattle, WA 98101-3099

Telephone: 206.359.8000

Facsimile: 206.359.9000

Email: rberenstein@perkinscoie.com

Attorneys for Defendants Pacific Investment  
Management Company LLC and PIMCO  
Investments LLC

**Ropes & Gray LLP**  
Prudential Tower  
800 Boylston Street  
Boston, MA 02199-3600  
Phone: 617.951.7000  
Fax: 617.951.7050

**Perkins Coie LLP**  
1201 Third Avenue, Suite 4900  
Seattle, WA 98101-3099  
Phone: 206.359.8000  
Fax: 206.359.9000